Appendix G – Crosscutting Concepts

Guiding Principles

The *Framework* recommended crosscutting concepts be embedded in the science curriculum beginning in the earliest years of schooling and suggested a number of guiding principles for how they should be used. The development process of the standards provided insights into the crosscutting concepts. These insights are shared in the following guiding principles.

Crosscutting concepts can help students better understand core ideas in science and engineering.

When students encounter new phenomena, whether in a science lab, field trip, or on their own, they need mental tools to help engage in and come to understand the phenomena from a scientific point of view. Familiarity with crosscutting concepts can provide that perspective. For example, when approaching a complex phenomenon (either a natural phenomenon or a machine) an approach that makes sense is to begin by observing and characterizing the phenomenon in terms of patterns. A next step might be to simplify the phenomenon by thinking of it as a system and modeling its components and how they interact. In some cases it would be useful to study how energy and matter flow through the system, or to study how structure affects function (or malfunction). These preliminary studies may suggest explanations for the phenomena, which could be checked by predicting patterns that might emerge if the explanation is correct, and matching those predictions with those observed in the real world.

Crosscutting concepts can help students better understand science and engineering practices.

Because the crosscutting concepts address the fundamental aspects of nature, they also inform the way humans attempt to understand it. Different crosscutting concepts align with different practices, and when students carry out these practices, they are often addressing one of these crosscutting concepts. For example, when students analyze and interpret data, they are often looking for patterns in observations, mathematical or visual. The practice of planning and carrying out an investigation is often aimed at identifying cause and effect relationships: if you poke or prod something, what will happen? The crosscutting concept of "Systems and System Models" is clearly related to the practice of developing and using models.

Repetition in different contexts will be necessary to build familiarity.

Repetition is counter to the guiding principles the writing team used in creating performance expectations to reflect the core ideas in the science disciplines. In order to reduce the total amount of material students are held accountable to learn, repetition was reduced whenever possible. However, crosscutting concepts are repeated within grades at the elementary level and grade-bands at the middle and high school levels so these concepts "become common and familiar touchstones across the disciplines and grade levels." (p. 83)

Crosscutting concepts should grow in complexity and sophistication across the grades.

Repetition alone is not sufficient. As students grow in their understanding of the science disciplines, depth of understanding crosscutting concepts should grow as well. The writing team has adapted and added to the ideas expressed in the *Framework* in developing a matrix for use in crafting performance expectations that describe student understanding of the crosscutting concepts. The matrix is found at the end of this section.

Appendix G – Crosscutting Concepts

Crosscutting concepts can provide a common vocabulary for science and engineering.

The practices, disciplinary core ideas, and crosscutting concepts are the same in science and engineering. What is different is how and why they are used—to explain natural phenomena in science, and to solve a problem or accomplish a goal in engineering. Students need both types of experiences to develop a deep and flexible understanding of how these terms are applied in each of these closely allied fields. As crosscutting concepts are encountered repeatedly across academic disciplines, familiar vocabulary can enhance engagement and understanding for English language learners, students with language processing difficulties, and students with limited literacy development.

Crosscutting concepts should not be assessed separately from practices or core ideas.

Students should not be assessed on their ability to define "pattern," "system," or any other crosscutting concepts as a separate vocabulary word. To capture the vision in the *Framework*, students should be assessed on the extent to which they have achieved a coherent scientific worldview by recognizing similarities among core ideas in science or engineering that may at first seem very different, but are united through crosscutting concepts.

Performance expectations focus on some but not all capabilities associated with a crosscutting concept.

As core ideas grow in complexity and sophistication across the grades it becomes more and more difficult to express them fully in performance expectations. Consequently, most performance expectations reflect only some aspects of a crosscutting concept. These aspects are indicated in the right-hand foundation box in each of the standards. All aspects of each core idea considered by the writing team can be found in the matrix at the end of this section.

Crosscutting concepts are for *all* students.

Crosscutting concepts raise the bar for students who have not achieved at high levels in academic subjects and often assigned to classes that emphasize "the basics," which in science may be taken to provide primarily factual information and lower-order thinking skills. Consequently, it is essential that *all students* engage in using crosscutting concepts, which could result in leveling the playing field and promoting deeper understanding for all students.

Inclusion of Nature of Science and Engineering Concepts.

Sometimes included in the crosscutting concept foundation boxes are concepts related to materials from the "Nature of Science" or "Science, Technology, Society, and the Environment." These are not to be confused with the "Crosscutting Concepts" but rather represent an organizational structure of the NGSS recognizing concepts from both the Nature of Science and Science, Technology, Society, and the Environment that extend across all of the sciences. Readers should use Appendices H and J for further information on these ideas.

Progression of Crosscutting Concepts Across the Grades

Following is a brief summary of how each crosscutting concept increases in complexity and sophistication across the grades as envisioned in the *Framework*. Examples of performance expectations illustrate how these ideas play out in the NGSS.

Appendix G – Crosscutting Concepts

1. Patterns

"Patterns exist everywhere—in regularly occurring shapes or structures and in repeating events and relationships. For example, patterns are discernible in the symmetry of flowers and snowflakes, the cycling of the seasons, and the repeated base pairs of DNA." (p. 85)

While there are many patterns in nature, they are not the norm since there is a tendency for disorder to increase (e.g. it is far more likely for a broken glass to scatter than for scattered bits to assemble themselves into a whole glass). In some cases, order seems to emerge from chaos, as when a plant sprouts, or a tornado appears amidst scattered storm clouds. It is in such examples that patterns exist and the beauty of nature is found. "Noticing patterns is often a first step to organizing phenomena and asking scientific questions about why and how the patterns occur." (p. 85)

"Once patterns and variations have been noted, they lead to questions; scientists seek explanations for observed patterns and for the similarity and diversity within them. Engineers often look for and analyze patterns, too. For example, they may diagnose patterns of failure of a designed system under test in order to improve the design, or they may analyze patterns of daily and seasonal use of power to design a system that can meet the fluctuating needs." (page 85-86)

Patterns figure prominently in the science and engineering practice of "Analyzing and Interpreting Data." Recognizing patterns is a large part of working with data. Students might look at geographical patterns on a map, plot data values on a chart or graph, or visually inspect the appearance of an organism or mineral. The crosscutting concept of patterns is also strongly associated with the practice of "Using Mathematics and Computational Thinking." It is often the case that patterns are identified best using mathematical concepts. As Richard Feynman said, "To those who do not know mathematics it is difficult to get across a real feeling as to the beauty, the deepest beauty, of nature. If you want to learn about nature, to appreciate nature, it is necessary to understand the language that she speaks in."

The human brain is remarkably adept at identifying patterns, and students progressively build upon this innate ability throughout their school experiences. The following table lists the guidelines used by the writing team for how this progression plays out across K-12, with examples of performance expectations drawn from the NGSS.

Directions: As the expert it is your job to spend the next 5 minutes determining what this CCC is, how it is used to help students relate to curriculum content and where it is most often found. Take notes in the space provided below. After the five minutes are up, you will then return to your original table group and share out to the others so they become experts on each CCC.

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Appendix G – Crosscutting Concepts

2. Cause and Effect

Cause and effect is often the next step in science, after a discovery of patterns or events that occur together with regularity. A search for the underlying cause of a phenomenon has sparked some of the most compelling and productive scientific investigations. “Any tentative answer, or ‘hypothesis,’ that A causes B requires a model or mechanism for the chain of interactions that connect A and B. For example, the notion that diseases can be transmitted by a person’s touch was initially treated with skepticism by the medical profession for lack of a plausible mechanism. Today infectious diseases are well understood as being transmitted by the passing of microscopic organisms (bacteria or viruses) between an infected person and another. A major activity of science is to uncover such causal connections, often with the hope that understanding the mechanisms will enable predictions and, in the case of infectious diseases, the design of preventive measures, treatments, and cures.” (p. 87)

“In engineering, the goal is to design a system to cause a desired effect, so cause-and-effect relationships are as much a part of engineering as of science. Indeed, the process of design is a good place to help students begin to think in terms of cause and effect, because they must understand the underlying causal relationships in order to devise and explain a design that can achieve a specified objective.” (p.88)

When students perform the practice of “Planning and Carrying Out Investigations,” they often address cause and effect. At early ages, this involves “doing” something to the system of study and then watching to see what happens. At later ages, experiments are set up to test the sensitivity of the parameters involved, and this is accomplished by making a change (cause) to a single component of a system and examining, and often quantifying, the result (effect). Cause and effect is also closely associated with the practice of “Engaging in Argument from Evidence.” In scientific practice, deducing the cause of an effect is often difficult, so multiple hypotheses may coexist. For example, though the occurrence (effect) of historical mass extinctions of organisms, such as the dinosaurs, is well established, the reason or reasons for the extinctions (cause) are still debated, and scientists develop and debate their arguments based on different forms of evidence. When students engage in scientific argumentation, it is often centered about identifying the causes of an effect.

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Appendix G – Crosscutting Concepts

3. Scale, Proportion and Quantity

Scale, Proportion and Quantity are important in both science and engineering. These are fundamental assessments of dimension that form the foundation of observations about nature. Before an analysis of function or process can be made (the how or why), it is necessary to identify the what. These concepts are the starting point for scientific understanding, whether it is of a total system or its individual components. Any student who has ever played the game "twenty questions" understands this inherently, asking questions such as, "Is it bigger than a bread box?" in order to first determine the object's size.

An understanding of scale involves not only understanding systems and processes vary in size, time span, and energy, but also different mechanisms operate at different scales. In engineering, "no structure could be conceived, much less constructed, without the engineer's precise sense of scale... At a basic level, in order to identify something as bigger or smaller than something else—and how much bigger or smaller—a student must appreciate the units used to measure it and develop a feel for quantity." (p. 90) "The ideas of ratio and proportionality as used in science can extend and challenge students' mathematical understanding of these concepts. To appreciate the relative magnitude of some properties or processes, it may be necessary to grasp the relationships among different types of quantities—for example, speed as the ratio of distance traveled to time taken, density as a ratio of mass to volume. This use of ratio is quite different than a ratio of numbers describing fractions of a pie. Recognition of such relationships among different quantities is a key step in forming mathematical models that interpret scientific data." (p. 90)

The crosscutting concept of Scale, Proportion, and Quantity figures prominently in the practices of "Using Mathematics and Computational Thinking" and in "Analyzing and Interpreting Data." This concept addresses taking measurements of structures and phenomena, and these fundamental observations are usually obtained, analyzed, and interpreted quantitatively. This crosscutting concept also figures prominently in the practice of "Developing and Using Models." Scale and proportion are often best understood using models. For example, the relative scales of objects in the solar system or of the components of an atom are difficult to comprehend mathematically (because the numbers involved are either so large or so small), but visual or conceptual models make them much more understandable (e.g., if the solar system were the size of a penny, the Milky Way galaxy would be the size of Texas).

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Appendix G – Crosscutting Concepts

4. Systems and System Models

Systems and System Models are useful in science and engineering because the world is complex, so it is helpful to isolate a single system and construct a simplified model of it. “To do this, scientists and engineers imagine an artificial boundary between the system in question and everything else. They then examine the system in detail while treating the effects of things outside the boundary as either forces acting on the system or flows of matter and energy across it—for example, the gravitational force due to Earth on a book lying on a table or the carbon dioxide expelled by an organism. Consideration of flows into and out of the system is a crucial element of system design. In the laboratory or even in field research, the extent to which a system under study can be physically isolated or external conditions controlled is an important element of the design of an investigation and interpretation of results…The properties and behavior of the whole system can be very different from those of any of its parts, and large systems may have emergent properties, such as the shape of a tree, that cannot be predicted in detail from knowledge about the components and their interactions.” (p. 92)

“Models can be valuable in predicting a system’s behaviors or in diagnosing problems or failures in its functioning, regardless of what type of system is being examined… In a simple mechanical system, interactions among the parts are describable in terms of forces among them that cause changes in motion or physical stresses. In more complex systems, it is not always possible or useful to consider interactions at this detailed mechanical level, yet it is equally important to ask what interactions are occurring (e.g., predator-prey relationships in an ecosystem) and to recognize that they all involve transfers of energy, matter, and (in some cases) information among parts of the system… Any model of a system incorporates assumptions and approximations; the key is to be aware of what they are and how they affect the model’s reliability and precision. Predictions may be reliable but not precise or, worse, precise but not reliable; the degree of reliability and precision needed depends on the use to which the model will be put.” (p. 93)

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Appendix G – Crosscutting Concepts

5. Energy and Matter

Energy and Matter are essential concepts in all disciplines of science and engineering, often in connection with systems. “The supply of energy and of each needed chemical element restricts a system’s operation—for example, without inputs of energy (sunlight) and matter (carbon dioxide and water), a plant cannot grow. Hence, it is very informative to track the transfers of matter and energy within, into, or out of any system under study.

“In many systems there also are cycles of various types. In some cases, the most readily observable cycling may be of matter—for example, water going back and forth between Earth’s atmosphere and its surface and subsurface reservoirs. Any such cycle of matter also involves associated energy transfers at each stage, so to fully understand the water cycle, one must model not only how water moves between parts of the system but also the energy transfer mechanisms that are critical for that motion.

“Consideration of energy and matter inputs, outputs, and flows or transfers within a system or process are equally important for engineering. A major goal in design is to maximize certain types of energy output while minimizing others, in order to minimize the energy inputs needed to achieve a desired task.” (p. 95)

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Appendix G – Crosscutting Concepts

6. Structure and Function

Structure and Function are complementary properties. “The shape and stability of structures of natural and designed objects are related to their function(s). The functioning of natural and built systems alike depends on the shapes and relationships of certain key parts as well as on the properties of the materials from which they are made. A sense of scale is necessary in order to know what properties and what aspects of shape or material are relevant at a particular magnitude or in investigating particular phenomena—that is, the selection of an appropriate scale depends on the question being asked. For example, the substructures of molecules are not particularly important in understanding the phenomenon of pressure, but they are relevant to understanding why the ratio between temperature and pressure at constant volume is different for different substances.

“Similarly, understanding how a bicycle works is best addressed by examining the structures and their functions at the scale of, say, the frame, wheels, and pedals. However, building a lighter bicycle may require knowledge of the properties (such as rigidity and hardness) of the materials needed for specific parts of the bicycle. In that way, the builder can seek less dense materials with appropriate properties; this pursuit may lead in turn to an examination of the atomic-scale structure of candidate materials. As a result, new parts with the desired properties, possibly made of new materials, can be designed and fabricated.” (p. 96-97)

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Appendix G – Crosscutting Concepts

7. Stability and Change

Stability and Change are the primary concerns of many, if not most scientific and engineering endeavors. "Stability denotes a condition in which some aspects of a system are unchanging, at least at the scale of observation. Stability means that a small disturbance will fade away—that is, the system will stay in, or return to, the stable condition. Such stability can take different forms, with the simplest being a static equilibrium, such as a ladder leaning on a wall. By contrast, a system with steady inflows and outflows (i.e., constant conditions) is said to be in dynamic equilibrium. For example, a dam may be at a constant level with steady quantities of water coming in and out. . . . A repeating pattern of cyclic change—such as the moon orbiting Earth—can also be seen as a stable situation, even though it is clearly not static.

"An understanding of dynamic equilibrium is crucial to understanding the major issues in any complex system—for example, population dynamics in an ecosystem or the relationship between the level of atmospheric carbon dioxide and Earth's average temperature. Dynamic equilibrium is an equally important concept for understanding the physical forces in matter. Stable matter is a system of atoms in dynamic equilibrium.

"In designing systems for stable operation, the mechanisms of external controls and internal 'feedback' loops are important design elements; feedback is important to understanding natural systems as well. A feedback loop is any mechanism in which a condition triggers some action that causes a change in that same condition, such as the temperature of a room triggering the thermostatic control that turns the room's heater on or off.

"A system can be stable on a small time scale, but on a larger time scale it may be seen to be changing. For example, when looking at a living organism over the course of an hour or a day, it may maintain stability; over longer periods, the organism grows, ages, and eventually dies. For the development of larger systems, such as the variety of living species inhabiting Earth or the formation of a galaxy, the relevant time scales may be very long indeed; such processes occur over millions or even billions of years." (p. 99-100)

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